



**NYSERDA**



## Low-rise Residential New Construction Programs PON 2309 – Requirements for Builders

Builders of single family homes and other low-rise residential dwelling units are encouraged to participate in the Low-rise Residential New Construction Program (Program). To participate and access Program benefits, the builder must become a partner with NYSERDA (Partner-Builder), which requires the builder first become an active partner of the US Environmental Protection Agency's (EPA) ENERGY STAR Homes Program as a builder in New York State. The Partner-Builder must hire a NYSERDA-approved Home Energy Rater (Rater) and must commit to constructing dwelling units which meet the Program requirements as described in PON 2309, whenever Program incentives will be sought. The Partner-Builder and their chosen Rater must work cooperatively to verify compliance with these requirements. The RESNET Accredited Provider and their affiliated Rater shall serve as the Partner-Builder's primary source for technical support and guidance regarding these Programs and the EPA's Energy Star Homes program, for any Home Energy Rating delivered with Program support.

Various financial incentives are offered in support of the Partner-Builder's construction of dwelling units which meet Program standards and requirements. Additionally, cooperative advertising incentives are offered in accordance with terms and conditions outlined in Cooperative Advertising section of PON 2309. Builder training and technical support services may also be offered by NYSERDA at reduced or no cost to the Partner-Builder.

**Submission of the [Builder Signature Form](#) to NYSERDA is considered an application.** To be accepted by NYSERDA and allowed to serve as a Partner-Builder, the applicant must read, understand, and agree to comply with all terms and conditions outlined in PON 2309; and must provide:

1. Confirmation of one or more of the builder's chosen Rater(s);
2. Confirmation that the builder has a current Partnership Agreement with the EPA ENERGY STAR Homes Program to serve as a Builder in New York State, is in compliance with all requirements of that Agreement, and is in good standing with the EPA;
3. Confirmation that the on-line builder orientation offered and required by EPA has been satisfactorily completed.

**Technical and Administrative Requirements include, but are not limited to, the following:**

**A. Technical requirements:**

1. **Home Energy Ratings** must be completed in accordance with RESNET's "2006 Mortgage Industry National Home Energy Rating System Standards" including updates as adopted by RESNET's Board of Directors;
2. **Home Energy Ratings** must be completed in accordance with the EPA's ENERGY STAR Homes Program technical standards and requirements, including completion of all relevant checklists;
3. **Home Energy Ratings** must meet PON 2309 requirements;
4. **A Home Energy Rating Certificate (HERC)** must be generated by the Rater for the confirmed Home Energy Rating whenever Program incentives will be sought for that dwelling unit. The Partner-Builder must cooperate with the Rater to ensure appropriate access is provided, allowing the Rater to view the dwelling unit during all relevant stages of construction, to ensure the dwelling unit's as-built construction is accurately reflected on the HERC. The HERC, generated by the Rater with support from Rater's RESNET Accredited Provider, must reflect the dwelling unit's condition when the confirmed Home Energy Rating is performed, and must include the auto-generated output to confirm compliance with the relevant version of Energy Conservation Construction Code of New York State.
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**B. Administrative:**

1. **The Partner-Builder** must electronically complete each [PON 2309 Incentive Application](#) with their Rater's assistance. To be eligible to receive Program incentives associated with that dwelling unit, submission of the Incentive Application must be made within sixty (60) days of the Rater's completion of the confirmed Home Energy Rating. Exception to this sixty (60) day limitation: multi-unit project Incentive Applications should be submitted in accordance with the completion schedule identified in the NYSERDA-approved [Low-rise Residential New Construction Project Application](#), and NYSERDA's approval and commitment letter for the project;

To be considered by NYSERDA for eligibility to serve as a Partner-Builder under PON 2309, applicants must submit originals of their signed [Builder Signature Form](#), accompanied by the required supporting documents, to:

N.Y.S. Energy Research and Development Authority (NYSERDA)  
Low-rise Residential New Construction Programs  
Attn: Nicole Angels  
17 Columbia Circle  
Albany, NY 12203